

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

STEPHEN SULLIVAN, WHITE OAK FUND LP,
CALIFORNIA STATE TEACHERS' RETIREMENT
SYSTEM, SONTERRA CAPITAL MASTER FUND, LTD.,
FRONTPOINT PARTNERS TRADING FUND, L.P., AND
FRONTPOINT AUSTRALIAN OPPORTUNITIES TRUST
on behalf of themselves and all others similarly situated,

Plaintiffs,

- against -

BARCLAYS PLC, BARCLAYS BANK PLC, BARCLAYS
CAPITAL INC., BNP PARIBAS S.A., CITIGROUP, INC.,
CITIBANK, N.A., COÖPERATIEVE CENTRALE
RAIFFEISEN-BOERENLEENBANK B.A., CRÉDIT
AGRICOLE S.A., CRÉDIT AGRICOLE CIB, DEUTSCHE
BANK AG, DB GROUP SERVICES UK LIMITED, HSBC
HOLDINGS PLC, HSBC BANK PLC, ICAP PLC, ICAP
EUROPE LIMITED, J.P. MORGAN CHASE & CO.,
JPMORGAN CHASE BANK, N.A., THE ROYAL BANK OF
SCOTLAND PLC, SOCIÉTÉ GÉNÉRALE SA, UBS AG AND
JOHN DOE NOS. 1-50,

Defendants.

Docket No. 13-cv-02811 (PKC)

ECF Case

NOTICE OF APPEAL

NOTICE IS HEREBY GIVEN that Plaintiffs Stephen Sullivan, White Oak Fund LP, California State Teachers' Retirement System, Sonterra Capital Master Fund, Ltd., FrontPoint Partners Trading Fund, L.P., and FrontPoint Australian Opportunities Trust, individually and on behalf of all others similarly situated, hereby appeal to the United States Court of Appeals for the Second Circuit from the February 21, 2017 Order denying in part and granting in part Defendants' motion to dismiss (ECF No. 286), the April 18, 2017 Order granting the motion of certain Defendants for clarification of the February 21, 2017 Order (ECF No. 339) only insofar as such order clarified the February 21, 2017 Order as to Plaintiffs' claims against all defendants except

Citigroup Inc., Citibank, N.A., JPMorgan Chase & Co. and JPMorgan Chase Bank, N.A., and the April 18, 2017 Order denying Plaintiffs' motion to amend their complaint (ECF No. 340). For the avoidance of doubt, Plaintiffs are not appealing as to those defendants with which they previously settled, namely, Barclays plc, Barclays Bank plc, Barclays Capital Inc., Citigroup Inc., Citibank, N.A., Deutsche Bank AG, DB Group Services, HSBC Holdings plc, HSBC Bank plc, JPMorgan Chase & Co., and JPMorgan Chase Bank, N.A.

Dated: June 14, 2019
White Plains, New York

Respectfully submitted,

LOWEY DANNENBERG, P.C.

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